

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10680010
Filing Date	2003-10-07
First Named Inventor	Emerson P. Jones
Art Unit	3691
Examiner Name	Kelly Scaggs Campen
Attorney Docket Number	006878.114601

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
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	1	"LaBranche & Co. Announces Shelf Registration of Shares", PR Newswire. New York: Jan 18, 2002: page 1.	<input type="checkbox"/>
	2	"IRS starting to challenge popular tax deferral technique (variable prepaid forward contracts)", Florida Bar Journal, Jan 1, 2003, pages 1-2.	<input type="checkbox"/>
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